

Report Supplementary Materials 5 (17-99-85-supp5).

### Duty of candour trajectory included documents

Duty of Candour Trajectory		
Date	Document	Description of Document
03/2014	Building a Culture of Candour, Royal College of Surgeons Review	A review of the DoC, which outlines recommendations for building candour practices into organizational culture
07/2014	Guidance for NHS bodies on the fit and proper person requirement for directors and the duty of candour, CQC Consultation	A consultation document that provides an overview of new CQC regulations (Regulation 5: Fit and Proper Persons Requirement for Directors and Regulation 20: DoC). The document requests consultation from NHS stakeholders to inform guidance on implementing these regulations
01/2015	Being Open in NHS Scotland: Guidance on implementing the Being Open principles, Health Improvement Scotland	A new version of the Being Open Framework, which supports the NHS in engaging with people after they have suffered harm.
02/2015	Culture Change in the NHS: Applying the Lessons of the Francis Inquiries, Department of Health	Reports the progress that has been made in improving the quality and safety of NHS services after the Francis Inquiries
03/2015	Regulation 20: Duty of Candour, CQC	Document detailing the duty of candour regulation
03/2015	Regulation 5: Fit and Proper Persons Requirement for Directors, CQC	Document detailing the fit and proper persons requirement regulation
03/2015	Serious Incident Framework, NHS England	A revised serious incident framework that highlights how serious incidents should be managed in the NHS
06/2015	Openness and Honesty When Things Go Wrong: The Professional Duty of Candour, GMC	A quick guide on the Duty of Candour for clinicians
12/2016	Learning, Candour and Accountability: A review of the way NHS trusts review and investigate the deaths of patients in England, CQC	A summary of a review of existing NHS investigation processes and systems in place to learn from patient deaths
06/2016	Saying Sorry Poster, NHS Resolution	Poster for clinicians on apologising after incidents

### References

1. Care Quality Commission. Regulation 20: Duty of Candour. Information for all providers: NHS bodies, adult social care, primary medical and dental care, and independent

healthcare. In: Care Quality Commission, editor. The Health and Social Care Act 2008 (Regulated Activities); 2014.

2. Care Quality Commission. Guidance for NHS Bodies on the Fit and Proper Person Requirement for Directors and the Duty of Candour. In: Commission CQ, editor.; 2014.

3. Dalton D, Williams N. Building a Culture of Candour: A Review of the Threshold for the Duty of Candour and of the Incentives for Care Organisations to Be Candid. In: Surgeons RCo, editor.; 2014.

4. Care Quality Commission. Regulation 5: Fit and Proper Persons Requirement 2015.

5. Department of Health. Culture Change in the NHS: Applying the Lessons of the Francis Inquiries. In: Health Do, editor.; 2015.

6. Healthcare Improvement Scotland. Being Open NHS Scotland: Guidance on Implementing the Being Open Principles. 2015.

7. NHS England. Serious Incident Framework: Supporting Learning to Prevent Recurrence. In: Domain PS, editor.; 2015.

8. Nursing and Midwifery Council a, General Medical Council. Openness and Honesty When Things Go Wrong: The Professional Duty of Candour. 2015.

9. Care Quality Commission. Learning, Candour and Accountability: a review of the ways that NHS trusts review and investigate the deaths of patients in England. 2016.

10. NHS Resolution. Saying Sorry Poster. In: NHS Resolution, editor.; 2017.